



## 2013 BUILDING ENERGY EFFICIENCY STANDARDS – RESIDENTIAL HVAC ALTERATIONS



COMMUNITY DEVELOPMENT DEPARTMENT—345 N. EL DORADO STREET—STOCKTON, CA 95202—(209) 937-8561

[www.stocktongov.com/CDD/building](http://www.stocktongov.com/CDD/building)

### **BUSINESS AND PROFESSIONS CODE, SECTION 7110**

Willful or deliberate disregard and violation of the building laws, including the California Building Code and local permit requirements constitutes a cause for disciplinary action from the Contractors State License Board working in conjunction with the local building department. This action may consist of fines up to \$5,000 per violation or suspension/revocation of a contractor's license.

### **WHEN IS A PERMIT REQUIRED?**

A written construction permit shall be obtained from the enforcement agency prior to the erection, construction, reconstruction, installation, relocation, or alteration of any mechanical system, except as permitted in Chapter 1, Section 111.2 of the 2013 California Mechanical Code. Projects requiring permits include, but are not limited to:

- New HVAC installation
- HVAC Changeout
- Replacement of furnace, coil, FAU, condenser, or ductwork
- Relocation of an existing HVAC unit

### **2013 BUILDING ENERGY EFFICIENCY STANDARDS (Title 24, Part 6) REQUIREMENTS INCLUDE:**

1. Heating equipment must have a minimum 80% AFUE (Exception: Wall & floor furnaces; room heaters).
2. Central air conditioners & heat pumps less than 65,000 Btu/hr must have a minimum 14 SEER.
3. Newly installed or replaced ducts must have a minimum insulation value of R-6.
4. A setback type thermostat (24 hr clock with four set points) is required for all alterations.
5. New or replacement ducts must meet the mandatory requirements of Section 150(m):
  - All joints and openings in the in the HVAC system must be sealed.
  - Only UL 181, UL 181A, or UL 181B approved tapes or mastic shall be used to seal duct openings.
  - Connections of metals ducts and the inner core of flex ducts shall be mechanically fastened. Flex ducts must be connected using a metal sleeve/coupling.
  - Flex ducts that are suspended must be supported every 4ft. max for horizontal runs with no more than 2" of sag between supports and 6 ft. max for vertical runs.

### **WHAT FORMS ARE REQUIRED?**

The **CF1R-ALT-02-E** or **CF1R-ALT-04-E** and **CF2R-MCH-01-H** are required for all HVAC alterations. HERS verification is required for all HVAC alterations. CF3R's are the forms that are provided through the HERS verification process. A HERS rater is a special inspector for the building department. The building inspector may also request to be on site to witness testing by the contractor and/or HERS rater.

**For Final inspection ALL compliance forms (CF1Rs, CF2Rs, and CF3Rs) shall be registered with an approved HERS Provider.**

The attached Ace Resources Residential Trigger Sheet for HVAC alterations provides detailed information for requirements that apply to HVAC alterations.

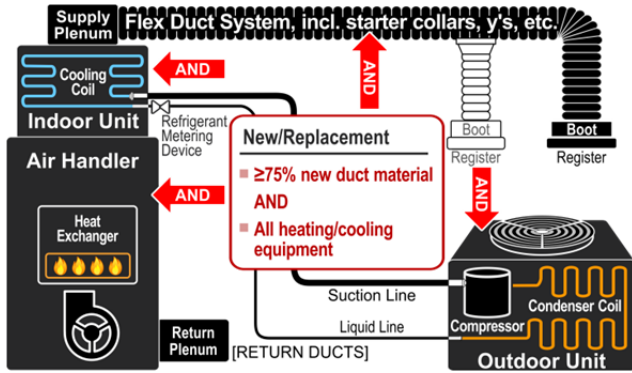
Split Systems and Packaged Systems	Mandatory Measures					Prescriptive Requirements	
	Setback Thermostat §110.2(c) §150.2(b)F	Cooling Load Calcs §150.0(h), §150.2(b)1C	Heating Load Calcs §150.0(h), §150.2(b)1C	HERS: Duct Seal and Test §150.0 (m)1-3 & 11 §150.2(b)1C,D, & E	HERS: Cooling Coil Airflow and Fan Watt Draw §150.0(m)12, 13 & 15 §150.2 (b)1C, D	Duct Insulation §150.1(c)9 §150.2(b)1D	HERS: Refrigerant Charge §150.1(c)7 A §150.2(b)1 F
Change this (and nothing else)							
Whole split or packaged system (no ducts added or replaced)	YES	no	no <sup>A</sup>	YES <sup>B</sup>	no	no	YES <sup>C, D</sup>
Evaporator coil (cooling coil), condenser coil, or outdoor condensing unit	YES	no	no <sup>A</sup>	YES <sup>B</sup>	no	no	YES <sup>C, D</sup>
Furnace (air handler)	YES	no	no <sup>A</sup>	YES <sup>B</sup>	no	no	YES <sup>C, D</sup>
Compressor, refrigerant metering device	YES	no	no <sup>A</sup>	no	no	no	YES <sup>C, D</sup>
Some ducts	no	maybe <sup>E</sup>	maybe <sup>A, E</sup>	YES <sup>B</sup>	no	YES <sup>F</sup>	no
"All new" ducts <sup>G</sup>	no	maybe <sup>E</sup>	maybe <sup>A, E</sup>	YES <sup>H</sup>	YES <sup>I</sup>	YES <sup>F</sup>	no
Whole split or packaged system and all new ducts	YES	YES <sup>E</sup>	YES <sup>A, E</sup>	YES <sup>H</sup>	YES <sup>I</sup>	YES <sup>F</sup>	YES <sup>C, D</sup>

**NOTE:**

- ✦ Replacing the blower wheel fan is considered a repair and does NOT trigger the Standards.
- ✦ All new HVAC equipment must meet minimum federal efficiency requirements
- ✦ Cooling line insulation is triggered if the line set (cooling system, suction line) is replaced or repaired. Line sets ≤1.5" in diameter must have 0.5" thick insulation.

- <sup>A</sup> Heating equipment must meet CBC minimum capacity requirements.
- <sup>B</sup> Unless exceptions apply, duct systems must be sealed and verified if >40 feet of ducts in unconditioned space. Duct system leakage must be ≤15% in total, or ≤10% to the outside. Or, if unable to meet the sealing requirements, all accessible leaks must be sealed and verified by a HERS rater.
- <sup>C</sup> HERS verification of refrigerant charge is required in **climate zones 2 and 8–15 only** when a refrigerant containing component of an air conditioner or heat pump is replaced or installed in an existing building.
- <sup>D</sup> Although there are no commercially available HVAC systems with approved Charge Indicator Display (CID) devices at the time of publication (July 2014) the Standards do allow use of a CEC-approved CID should such equipment become available during the 2013 code cycle.
- <sup>E</sup> Cooling and heating load calculations are required when ducts are added to **serve new conditioned space**, such as an addition.
- <sup>F</sup> When adding or replacing >40 feet of ducts in unconditioned space: CZ 1-10 and 12-13: R-6; CZ 11 and 14-16: R-8. HERS verification is required for insulated ducts in conditioned space. Mandatory duct insulation requirements (R-6) apply to all new or replacement ducts (not existing or unaltered ducts).
- <sup>G</sup> The system is considered to have "all new" ducts when 75% or more of the ducts are new material and up to 25% reused parts from the existing duct system (e.g., registers, grilles, boots, air handler, coil, plenums, duct material) if the reused parts are accessible and can be sealed to prevent leakage.
- <sup>H</sup> In all climate zones, when new duct systems are installed in unconditioned space, leakage must be ≤6% of the air handler airflow.
- <sup>I</sup> When new duct systems are installed, cooling coil airflow must be >350 CFM per ton, and fan watt draw must be ≤0.58W/CFM. Alternatively, the system can meet the requirements in Table 150.0-C or Table 150.0-D (Return Duct Sizing and Filter Sizing).

## 2013 Entirely New or Complete Replacement Space-Conditioning System §150.2(b)1C



A space-conditioning system is considered entirely new or a complete replacement when all of the following are installed or replaced:

- ✦ All the system heating/cooling equipment
- ✦ ≥75% new duct material <sup>G</sup>

## Required Documentation

### For All HVAC Alterations

All HVAC alterations require:

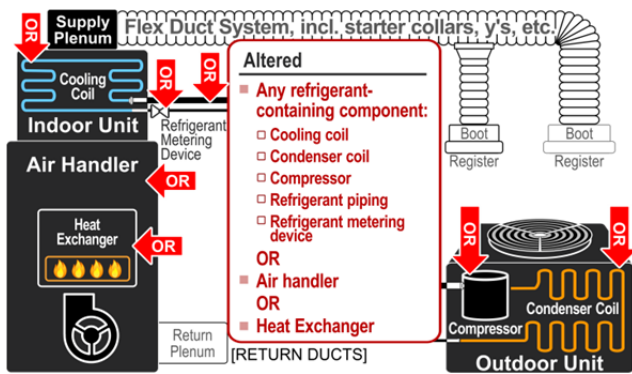
- ✦ Permit — for all HVAC changeouts
- ✦ CF1R: Certificate of Compliance: Alteration to an HVAC System (CF1R-ALT-02\*-E, or CF1R-ALT-03-E or CF1R-ALT-04-E)  
Submitted to the building department by the contractor or the home owner
- ✦ CF2R-MCH-01-H: Certificate of Installation for Space Conditioning Systems, Ducts and Fans  
Completed and signed by the installing contractor and made available for final inspection by building department

### For HERS Measures

Projects with HERS measures require:

- ✦ Registration of the CF1R, via HERS Provider
- ✦ CF2R-MCH...H: Certificates of Installation for mechanical system with HERS measures  
Completed and signed by the installing contractor; must be submitted to a HERS Provider Registry after the contractor has signed it, and made available for inspection by the building department
- ✦ CF3R-MCH...H: Certificates of Field Verification for mechanical system with HERS measures  
Completed and registered by a HERS Rater for each CF2R-H; the HERS Rater or contractor ensures the relevant CF3Rs are available for final inspection by the building department.

## 2013 Altered Space-Conditioning System §150.2(b)1E, F

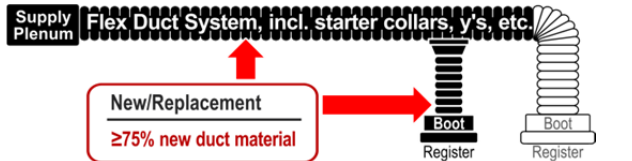


A space-conditioning system is considered altered when it is not a new or replacement system and any of the following components is installed or replaced:

- ✦ Any refrigerant-containing component, including:
  - ◇ Cooling coil
  - ◇ Condenser coil
  - ◇ Compressor
  - ◇ Refrigerant piping
  - ◇ Refrigerant metering device
- ✦ Air handler
- ✦ Heat exchanger

Replacing other components is considered a repair — not an alteration. For example, replacing the blower wheel fan, but not the heat exchanger or air handler in the furnace, is a repair.

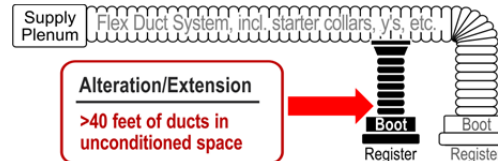
## 2013 Altered or Replaced Duct Systems (Duct Sealing) §150.2(b)1D



### Entirely New or Complete Replacement Ducts

Entirely new or complete replacement duct systems are those that contain at least 75% new duct material. Existing duct system components (up to 25%) may be reused if they are accessible and can be sealed.<sup>G</sup>

The Duct Sealing and Testing HERS measure must demonstrate a leakage rate less than or equal to 6% of the system air handler airflow. In addition, verification of Cooling Coil Airflow and Fan Watt Draw (HERS measure) is required. The system must have airflow >350 CFM per ton of nominal cooling capacity through the return grilles, and an air-handling unit fan efficacy ≤0.58 W/CFM.



### Alteration or Extension of Existing Ducts

In all climate zones when more than 40 feet of new or replacement system ducts are installed as an extension of an existing duct system, Duct Sealing and Testing (HERS measure) is required, and the measured leakage shall be equal to or less than 15% of system air handler air flow.

(There are alternatives to meeting the maximum 15% leakage. Consult your Building Department or §150.2(b)1Diib in the Standards.)

- ✦ HERS: Duct Leakage Diagnostic Test
  - ◇ CF2R-MCH-20\*-H and CF3R-MCH-20\*-H
- ✦ HERS: Fan Efficacy (Fan Watt Draw)
  - ◇ CF2R-MCH-22-H and CF3R-MCH-22-H
 and  
 HERS: Space Conditioning System Airflow Rate
  - ◇ CF2R-MCH-23\*-H and CF3R-MCH-23\*-H
- ✦ HERS: Refrigerant Charge Verification
  - ◇ CF2R-MCH-25\*-H and CF3R-MCH-25\*-H
  - or
  - ◇ CF2R-MCH-25f-E (for packaged systems with refrigerant charge certified by manufacturer)

\* *Correct version (e.g., "a" or "b" or "c") varies depending upon the project scope and approach used to demonstrate compliance*


### For Projects with New or Replacement Duct Systems using Duct and Filter Sizing

Projects that use Duct and Filter Sizing instead of the Cooling Coil Airflow and Fan Watt Draw HERS Measure require:


- ✦ CF2R-MCH-28-H and CF3R-MCH-28-H

# MINIMUM EQUIPMENT EFFICIENCY – COMMON RESIDENTIAL SYSTEMS

## Heating and Cooling Requirements

 Federal Minimums for HVAC Efficiency

**Air Conditioners** (cooling capacity <65 kBtuh) and  
**Central Gas Furnaces** (heating capacity <225 kBtuh)

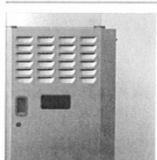


Minimum cooling efficiency

**Until 2015:** SEER = 13

**After 1 January 2015:**

- + Split Systems <45 kBtuh  
SEER = 14    EER = 12.2
- + Split Systems ≥45 and <65 kBtuh  
SEER = 14    EER = 11.7
- + Single Package ≥45 and ≤65 kBtuh  
SEER = 14    EER = 11.0




Minimum heating efficiency

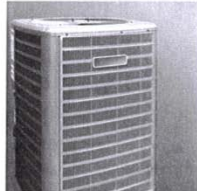
+ Gas Central Furnace <225 kBtuh  
AFUE = 80%

Minimum efficiencies for other types of space conditioning systems is found at the end of the "Package A Quick Reference" in Module 3: Energy Standards Key Concepts

Title 24 Part 6 Essentials — Residential Standards for Plans Examiners and Building Inspectors

 Federal Minimums for HVAC Efficiency

**Heat Pumps**



Cooling mode minimum efficiencies

**Until 2015:** SEER = 13

**After 1 January 2015:**

- + Split Systems <45 kBtuh  
SEER = 14    EER = 12.2
- + Split Systems ≥45 and <65 kBtuh  
SEER = 14    EER = 11.7
- + Packaged System ≥45 and ≤65 kBtuh  
SEER = 14    EER = 11.0

Heating mode minimum efficiencies

- + Split Systems  
HSPF = 8.2
- + Packaged System  
HSPF = 8.0

Title 24 Part 6 Essentials — Residential Standards for Plans Examiners and Building Inspectors

### Common Acronyms:

- AFUE:** Annual Fuel Utilization Efficiency (Gas Heating)
- SEER:** Service Energy Efficiency Rating (Cooling)
- EER:** Energy Efficiency Ratio (Cooling)
- COP:** Coefficient of Performance (Heat Pump)
- HSPF:** Heating Seasonal Performance Factor (Heat Pump)

- The size of cooling systems are sometimes referred to in "Tons" (e.g. 4 ton unit)
  - 12,000 BTUs = 1 ton of cooling
  - 45,000 BTUs = 3.75 Tons
  - 65,000 BTUs = 5.4 Tons
- Most residential systems will be less than 5 tons (less than 65k BTUs) so refer to these graphics for the required equipment efficiency ratings.

- Heat pump systems are electric and typically used when natural gas is unavailable. These types of systems are less common as it is usually more cost effective to heat using natural gas.

Type	Capacity	AFUE
Wall Furnace (fan type)	up to 42,000 Btu/hour	73%
	over 42,000 Btu/hour	74%
Wall Furnace (gravity type)	up to 10,000 Btu/hour	59%
	over 10,000 Btu/hour up to 12,000 Btu/hour	60%
	over 12,000 Btu/hour up to 15,000 Btu/hour	61%
	over 15,000 Btu/hour up to 19,000 Btu/hour	62%
	over 19,000 Btu/hour up to 27,000 Btu/hour	63%
	over 27,000 Btu/hour up to 46,000 Btu/hour	64%
	over 46,000 Btu/hour	65%

- Wall furnaces are typically seen in older homes and small additions where the central HVAC system isn't being extended.
- A fan type wall furnace has a fan or blower that circulates the air in the room.
- Gravity type wall furnaces have no mechanism to distribute the air; they simply radiate heat into the space.

**ALTERATIONS - HVAC**

CEC-CF1R-ALT-04-E (Revised 03/15)

CALIFORNIA ENERGY COMMISSION



CERTIFICATE OF COMPLIANCE

CF1R-ALT-04-E

Alterations - HVAC CZ 2, and 8-15 (formerly CF-1R-ALT-HVAC)

(Page 1 of 1)

Site Address:		Enforcement Agency:		Date Prepared:	Permit#:	
Equipment Type		Equipment Efficiency		New Ducting, Plenums, Lineset: Required R-value	Conditioned Floor Area (sq ft)  Served by system _____sqft	Thermostat
<input type="checkbox"/> Packaged System	<input type="checkbox"/> Evaporator Coil	_____AFUE	_____COP	<input type="checkbox"/> R-6 (CZ 2, 8-13) Ducting	Thermostat  <input type="checkbox"/> Setback (If not already present, must be installed)	
<input type="checkbox"/> Split System	<input type="checkbox"/> Condensing Unit	_____SEER	_____HSPF	<input type="checkbox"/> R-8 <sup>1</sup> (CZ 11, 14, 15) Ducting		
<input type="checkbox"/> Mini Split	<input type="checkbox"/> Compressor	_____EER		<input type="checkbox"/> R-6 (all CZ's) Plenums		
<input type="checkbox"/> Furnace	<input type="checkbox"/> Lineset			<input type="checkbox"/> R-5 or R7.5) Lineset <sup>4</sup>		
<input type="checkbox"/> TXV						
<b>HERS VERIFICATION SUMMARY</b> Installer determines work to be completed and matches to one of the options below. At permit application this form is allowed to be filled out by hand. For final inspection all forms are to be registered (no hand filled forms allowed) and a copy left on site.						
<input type="checkbox"/> 1. HVAC Changeout/Repair		<b>Required Compliance Documents to be left on site for Final:</b>				
All Equipment, Condenser Unit, Evaporator Coil, Compressor, TXV, Lineset, Air Handler/Furnace <sup>2</sup> (Can include new ducting)		CF1R-ALT-02-E CF2R: MECH-01, MECH-20-HERS, MECH-(23 or 24) <sup>2</sup> -HERS, MECH-25-HERS <sup>2</sup> CF3R: MECH-20-HERS, MECH-(23 or 24)-HERS <sup>2</sup> , MECH-25-HERS <sup>2</sup>				
<b>Installer Requirement:</b> Duct leakage ( $\leq 15\%$ , or $\leq 10\%$ to outside, or seal all accessible leaks), Air Flow $\geq 300$ CFM/ton, Refrigerant Charge. Exempted from duct leakage testing if: <input type="checkbox"/> 1. Duct system registered with HERS provider as previously sealed, or <input type="checkbox"/> 2. There is less than 40 linear feet of duct in unconditioned space, or <input type="checkbox"/> 3. Existing duct systems are constructed, insulated or sealed with asbestos (list manufacture date of building _____)						
<input type="checkbox"/> 2. New HVAC System		<b>Required Compliance Documents to be left on site for Final:</b>				
All new equipment and All New Ducts <sup>3</sup> including Mini Split		CF1R-ALT-02-E CF2R: MECH-01, MECH-20-HERS, MECH-22-HERS, MECH-(23 or 24)-HERS <sup>2</sup> , MECH-25-HERS <sup>2</sup> CF3R: MECH-20-HERS, MECH-22-HERS, MECH-(23 or 24)-HERS <sup>2</sup> , MECH-25-HERS <sup>2</sup> Mini Splits require CF1R-ALT-02-E, CF2R-MECH-01, and (CF2R-CF3R) MECH-25-HERS				
<b>Installer Requirement:</b> Duct leakage $\leq 6\%$ , Fan Efficacy (.58W/CFM), Air Flow $\geq 350$ CFM/ton (or alternative), Refrigerant Charge						
<input type="checkbox"/> 3. All New Ducts with Replacement		<b>Required Compliance Documents to be left on site for Final:</b>				
All New Ducts <sup>3</sup> and one or more of the following replaced: Condenser Unit, Evaporator Coil, Compressor, TXV, Lineset, Furnace <sup>2</sup>		CF1R-ALT-02-E CF2R: MECH-01, MECH-20-HERS, MECH-(23 or 24)-HERS, MECH-25-HERS CF3R: MECH-20-HERS, MECH-(23 or 24)-HERS, MECH-25-HERS				
<b>Installer Requirement:</b> Duct leakage $\leq 6\%$ , Air Flow $\geq 350$ CFM/ton (or alternative), Refrigerant Charge Exempted from duct leakage testing if: <input type="checkbox"/> 1. Existing duct systems are constructed, insulated or sealed with asbestos						
<input type="checkbox"/> 4. New Ducting over 40 feet		<b>Required Compliance Documents to be left on site for Final:</b>				
New ducting but less than All New Ducts <sup>3</sup>		CF1R-ALT-02-E, CF2R: MECH-20-HERS, CF3R: MECH-20-HERS				
<b>Installer Required to:</b> Duct leakage ( $\leq 15\%$ or, $\leq 10\%$ to outside or, or seal all accessible leaks) <input type="checkbox"/> EXCEPTION: Existing duct systems constructed, insulated or sealed with asbestos.						
<sup>1</sup> All new ducting R-8 required when more than 40 ft installed and R-6 when less than 40 ft installed. This includes in walls, between floors etc. <sup>2</sup> Heating only systems and Air Handler/Furnace changes do not require Air Flow MECH-(23 or 24), or Refrigerant Charge verification MECH-25 <sup>3</sup> All New Ducts is when at least 75 percent of the duct system is new duct material, and up to 25 percent may consist of reused parts from the dwelling unit's existing duct system (e.g., registers, grilles, boots, air handler, coil, plenums, duct material) <sup>4</sup> R-5 (1" thick insulation) for linesets 1" and less. R-7.5 (1.5" thick insulation) for linesets over 1 inch. Most mfg will require Suction line Diameter with insulation as the following 1.5-2T-2 $\frac{1}{2}$ ", 2.5-3T-2 $\frac{3}{4}$ ", 3.5 to 4T-2 $\frac{1}{2}$ ", 5T-4 $\frac{1}{2}$ "						
<b>Contractor (Documentation Author's /Responsible Designer's Declaration Statement)</b>						
I certify the following under penalty of perjury, under the laws of the State of California:						
1. The information provided on this Certificate of Compliance is true and correct.						
2. I am eligible under Division 3 of the California Business and Professions Code to accept responsibility for the information on this document.						
3. That the energy features and performance specifications for the design identified on this Certificate of Compliance conform to the requirements of Title 24, Parts 1 and 6 of the California Code of Regulations (CCR).						
4. That the energy features and performance specifications, materials, components, and manufactured devices for the building design or system design identified on this Certificate of Compliance conform to the requirements of Title 24, Part 1 and Part 6 of the CCR.						
5. The building design features or system design features identified on this Certificate of Compliance are consistent with the information provided on other applicable compliance documents, worksheets, calculations, plans and specifications submitted to the enforcement agency for approval with this building permit application.						
Responsible Designer Name:		Responsible Designer Signature:		Date Signed:	License:	
Company :		Address:		City/State/Zip:	Phone:	

For assistance or questions regarding the Energy Standards, contact the Energy Hotline at: 1-800-772-3300